SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number 3235-0287 Estimated average burden

0.5 hours per response:

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> White Michael James				2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>OLAPLEX HOLDINGS, INC.</u> [OLPX ]								tionship of F all applicab Director		erson(s) to Issuer 10% Owner			
(Last) (First) (Middle) PRUDENTIAL TOWER 800 BOYLSTON STREET, SUITE 3300					3. Date of Earliest Transaction (Month/Day/Year) 08/09/2023								Officer (g below)	ive title		Other (s below)	specify
(Street) BOSTON MA 02199-8069 (City) (State) (Zip)					4. If Ame	4. If Amendment, Date of Original Filed (Month/Day/Year)							ndividual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
		Т	able I - No	n-Dei	rivative S	Securities Acc	juired,	Disp	oosed of	, or	Benefi	cially Ow	ned				
Date				nsaction h/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr.		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
							Code	v	Amount		(A) or (D)	Price	3 and 4)	i(s) (iiisti.			(1130. 4)
Common Stock 08/					09/2023		Α		53,571	(1)	Α	\$0.00	62,257		D		
Common Stock													499,468,	58,771 <sup>(2)(3)</sup>			See Notes <sup>(2)(3)</sup> (4)(5)
						curities Acqui lls, warrants,							ed				
Derivative Conversion Date Execution Date, Security (Instr. 3) or Exercise (Month/Day/Year) if any			4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year) 3 and 4)			urities Un ivative Se		8. Price of Derivative Security (Instr. 5) Beneficia Owned Following Reported Transacti		e Ow s For ally Dire or I g (I) (	nership m: ect (D) ndirect Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

## Explanation of Responses:

1. Represents restricted stock units ("RSUs") granted to the Reporting Person under the Issuer's 2021 Equity Incentive Plan. Each RSU represents the conditional right to receive one share of Common Stock. The RSUs will vest in full on August 9, 2024, subject to the Reporting Person's continued service to the Issuer through such vesting date.

(D)

v (A)

Code

Date

Exercisable

Expiration

Date

Title

2. Advent International, L.P. (f/k/a Advent International Corporation, "Advent") manages funds that collectively own 499,468,771 shares of common stock of the Issuer, which are represented as follows: (i) 178,649,759 shares held by Advent International GPE IX Limited Partnership, 36,196,850 shares held by Advent International GPE IX-B Limited Partnership, 14,695,785 shares held by Advent International GPE IX-C Limited Partnership, 15,716,152 shares held by Advent International GPE IX-F Limited Partnership, 50,735,073 shares held by Advent International GPE IX-G Limited Partnership, 58,304,526 shares held by Advent International GPE IX-H Limited Partnership, and 32,399,939 shares held by Advent International GPE IX-I Limited Partnership (collectively, the "Advent IX Cayman Funds"); (ii) 53,588,842 shares held by Advent International GPE IX-A SCSp, 11,181,639 shares held by Advent International GPE IX-D SCSp, 23,162,376 shares held by Advent International GPE IX-E SCSp, and

3. (continued) 1,232,119 shares held by Advent International GPE IX Strategic Investors SCSp (collectively, the "Advent IX Luxembourg Funds"); and (iii) 943,950 shares held by Advent Partners GPE IX Limited Partnership, 5,510,717 shares held by Advent Partners GPE IX Cayman Limited Partnership, 571,802 shares held by Advent Partners GPE IX-A Cayman Limited Partnership, and 15,209,846 shares held by Advent Partners GPE IX-B Cayman Limited Partnership (collectively, the "Advent IX Partners Funds").

4. GPE IX GP Limited Partnership is the general partner of the Advent IX Cayman Funds, GPE IX GP S.a r.l. is the general partner of the Advent IX Luxembourg Funds, and AP GPE IX GP Limited Partnership is the general partner of the Advent IX Partners Funds. Advent International GPE IX, LLC is the general partner of GPE IX GP Limited Partnership and AP GPE IX GP Limited Partnership, and is the sole shareholder of GPE IX GP S.a.r.I. Advent is the manager of Advent International GPE IX, LLC and may be deemed to have voting and dispositive power over the shares held by the Advent IX Luxembourg Funds, the Advent IX Cayman Funds and the Advent IX Partners Funds. Advent International GP, LLC is the general partner of Advent.

5. The Reporting Person is a Principal of Advent and may have limited partner or other interests in one or more of the entities described herein. The Reporting Person disclaims Section 16 beneficial ownership of the shares reported herein except to the extent of his pecuniary interest therein, if any, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all the reported shares for purposes of Section 16 or any other purpose.

/s/ Michael James White

Amount or Number of

Shares

\*\* Signature of Reporting Person

08/10/2023 Date

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.