

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL  
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the  
Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person <b>MORFITT MARTHA A M</b>			2. Issuer Name and Ticker or Trading Symbol <b>OLAPLEX HOLDINGS, INC. [OLPX]</b>			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)		
(Last) (First) (Middle) <b>C/O OLAPLEX HOLDINGS, INC., 1178 COAST VILLAGE RD, SUITE 1-520</b>			3. Date of Earliest Transaction (Month/Day/Year) <b>09/29/2021</b>					
(Street) <b>SANTA BARBARA, CA 93108</b>			4. If Amendment, Date Original Filed (Month/Day/Year)			6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		
(City) (State) (Zip)			<b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>					

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/12/2021		P		24,000	A	\$ 25.97 <u>(1)</u>	24,000	D	
Common Stock	11/12/2021		P		9,000	A	\$ 25.99 <u>(2)</u>	33,000	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					
Option (Right to Buy)	\$ 3.34	09/29/2021		A		289,575		<u>(4)</u>	04/20/2031	Common Stock	\$ 0	289,575	D	
Option (Right to Buy)	\$ 3.34	09/29/2021		A		216,675		<u>(5)</u>	04/20/2031	Common Stock	\$ 0	506,250	D	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MORFITT MARTHA A M C/O OLAPLEX HOLDINGS, INC. 1178 COAST VILLAGE RD, SUITE 1-520 SANTA BARBARA, CA 93108	X			

## Signatures

/s/ Eric Tiziani, attorney-in-fact	11/16/2021
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## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported is a weighted average price. These shares were sold in multiple transactions ranging from \$25.95 to 26.00, inclusive. The Reporting Person undertakes to provide to

(1) the Company, any security holder of the Company or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

The price reported is a weighted average price. These shares were sold in multiple transactions ranging from \$25.96 to 25.9999, inclusive. The Reporting Person undertakes to provide

(2) to the Company, any security holder of the Company or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

These stock options were granted prior to the initial public offering of the common stock, par value \$0.001 per share ("Common Stock"), of Olaplex Holdings, Inc. (the "Company"),

(3) pursuant to a reorganization of the Company completed on September 29, 2021. These transactions were exempt from Section 16(b) in reliance upon Rule 16b-3 and are being reported herein pursuant to Rule 16a-2(a).

This option vests as to the underlying shares of Common Stock of the Company, in five equal installments on each of April 20, 2022, April 20, 2023, April 20, 2024, April 20, 2025, and

(4) April 20, 2026.

This option is vested as to 72,225 shares of Common Stock underlying this option, and vests as to one third of the remaining portion of the underlying shares of Common Stock in equal

(5) installments on each of the first three anniversaries of October 4, 2021, the date of consummation of the initial public offering of the Company's Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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